

# The Creation of the Particle Number Asymmetry in the Universe (Work based on ArXiv:1609:02990.)

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## Outline

1. The origin generating **particle number asymmetry** has not been fixed yet despite of many interesting scenarios.
- 2-1 We study a simple model generating particle number asymmetry through "**interaction**". The particle number is related to the global  $U(1)$  charge carried by a complex scalar field.
- 2-2 The interaction has the feature of **CP violation** and **particle number violation**.

- 3-1. We compute the time evolution of the asymmetry using quantum field theory with **the density matrix**.
- 3-2. We show the formulation for the FRW universe with arbitrary time dependent scale factor ( $a(x^0)$ ). The numerical result for the universe with constant scale factor is also shown.

## Model of scalars with particle number violation

$N$ ; Neutral scalar  $\phi$  complex scalar

$$S = \int d^4x \sqrt{-g} (\mathcal{L}_{\text{free}} + \mathcal{L}_{\text{int.}}),$$

$$\mathcal{L}_{\text{free}} = g^{\mu\nu} \nabla_\mu \phi^* \nabla_\nu \phi + \frac{B^2}{2} (\phi^2 + \phi^{*2}) - m_\phi^2 |\phi|^2$$

$$+ \left( \frac{\alpha_2}{2} \phi^2 + h.c. \right) R + \alpha_3 |\phi|^2 R$$

$$+ \frac{g^{\mu\nu}}{2} (\nabla_\mu N \nabla_\nu N - m_N^2 N^2)$$

$$\mathcal{L}_{\text{int.}} = A \phi^2 N + A^* \phi^{*2} N + A_0 |\phi|^2 N$$

One choose the metrix  $g_{\mu\nu}$  as;

$$g_{\mu\nu} = (1, -a(x^0)^2, -a(x^0)^2, -a(x^0)^2).$$

One introduces the real fields  $\phi_i (i = 1 \sim 3)$ ;

$$\phi = \frac{\phi_1 + i\phi_2}{\sqrt{2}}, N = \phi_3.$$

The masses for them are given as,

$$\tilde{m}_3^2(x^0) = m_N^2$$

$$\tilde{m}_1^2(x^0) = m_\phi^2 - B^2 - (\alpha_2 + \alpha_3)R(x^0)$$

$$\tilde{m}_2^2(x^0) = m_\phi^2 + B^2 + (\alpha_2 - \alpha_3)R(x^0)$$

$$R(x^0) = 6 \left( \frac{\ddot{a}}{a} + \left( \frac{\dot{a}}{a} \right)^2 \right)$$

In terms of real fields  $\phi_i$  ( $i = 1 \sim 3$ ), Lagrangian is,

$$\mathcal{L}_{\text{free+int}} = \sum_{i=1}^3 \frac{1}{2} (g^{\mu\nu} \nabla_{\mu} \phi_i \nabla_{\nu} \phi_i - \tilde{m}_i^2(x^0) \phi_i^2) \\ + \frac{1}{3} A_{ijk} \phi_i \phi_j \phi_k + O(\lambda_4 \phi_i^4)$$

$A_{ijk}$  is a symmetric tensor and its non-zero components are

$$A_{113} = \frac{A_0}{2} + \text{Re}(A), \quad A_{223} = \frac{A_0}{2} - \text{Re}(A),$$

$$A_{123} = -\text{Im}(A)$$

## Particle number violating coupling

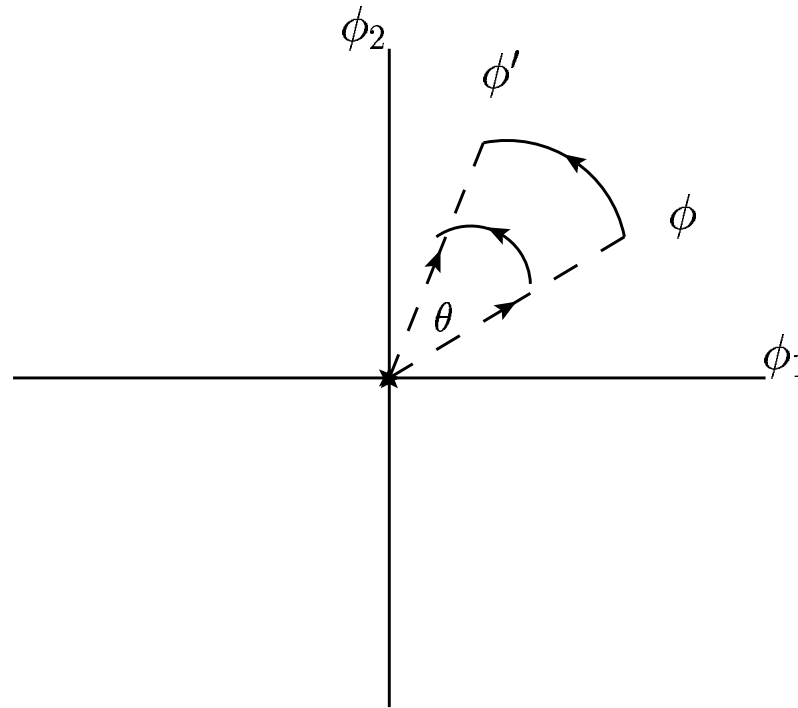
$$\rightarrow A_{113} - A_{223} \sim 2\text{Re}(A)$$

## CP violating and Particle number violating coupling

$$\rightarrow A_{123} = -\text{Im}(A)$$



## The particle number related to U(1) transformation



**Figure 1:**  $\phi'_1 = \phi_1 \cos \theta - \phi_2 \sin \theta$ ,  $\phi'_2 = \phi_2 \cos \theta + \phi_1 \sin \theta$ .

## The particle number related to U(1) transformation

- **U(1) transformation for the complex scalar field.**

$$\phi(x) \rightarrow e^{i\theta} \phi(x)$$

- **U(1) charge** (eg., Affleck and Dine (85) in susy)

**= particle number- anti-particle number**

$$Q(x^0) = \int \sqrt{-g(x^0)} j_0(x) d^3 \mathbf{x}$$

$$j_\mu(x) = \frac{1}{2} \phi_2 \overleftrightarrow{\partial}_\mu \phi_1 - \frac{1}{2} \phi_1 \overleftrightarrow{\partial}_\mu \phi_2.$$

How to compute the time evolution of particle number density

- **setting the initial condition for the density matrix and expectation value of the fields**

$$\rho(t_0) = \frac{e^{-\beta H_0}}{\text{Tr} e^{-\beta H_0}}, \quad \beta = \frac{1}{T}$$

**$H_0$  = Free Hamiltonian**

- **Compute the expectation value of the particle number density through,**

$$\langle j_0(x^0) \rho(t_0) \rangle = \text{Tr}(j_0(x^0) \rho(t_0)).$$

The density matrix element is given as Euclidean classical action.

$$\langle \phi_i^1 | \rho | \phi_i^2 \rangle = \frac{e^{-S_{Ecl}^{\text{free}}[\phi^1, \phi^2]}}{\int d\phi e^{-S_{Ecl}^{\text{free}}[\phi, \phi]}}$$

with  $\beta = 1/T$  (R. Hotta, H. Takata, T.M. PRD90, 016008)

$$S_{Ecl}^{\text{free}} = \frac{1}{2} \sum_{i=1}^3 \int_0^\beta du \left[ \left( \frac{\partial \phi_i}{\partial u} \right)^2 + \frac{1}{a_0^2} (\nabla \phi_i)^2 + \tilde{m}_{i0}^2 \phi_i^2 \right]$$

$$\left. \frac{\delta S_{Ecl}^{\text{free}}}{\delta \phi} \right|_{\phi = \phi_{cl}} = 0,$$

$$\phi(u=0) = \phi^2, \phi(u=\beta) = \phi^1.$$

$$S_{Ecl}^{\text{free}}[\phi^1, \phi^2] = S_{Ecl}^{\text{free}}[\phi = \phi_{cl}]$$

Current expectation value in terms of Green functions and expectation values for fields

$$j_\mu = \frac{1}{2} \left( \phi_2 \overleftrightarrow{\partial}_\mu \phi_1 - \phi_1 \overleftrightarrow{\partial}_\mu \phi_2 \right)$$

Expectation value with  $\rho(t^0)$  (initial density matrix);

$$\begin{aligned} \langle j_\mu(x) \rangle &= \text{Tr}[j_\mu(x)\rho(t^0)] \\ &= \lim_{y \rightarrow x} \left( \frac{\partial}{\partial x^\mu} - \frac{\partial}{\partial y^\mu} \right) \text{Re}.[G_{12}(x, y)] \\ &\quad + \text{Re}. \left( \bar{\phi}_2^* \overleftrightarrow{\partial}_\mu \bar{\phi}_1 \right) \end{aligned}$$

$G_{ij}(x, y) = \text{Tr}[\Phi_j(y)\rho(t^0)\Phi_i(x)]$  and

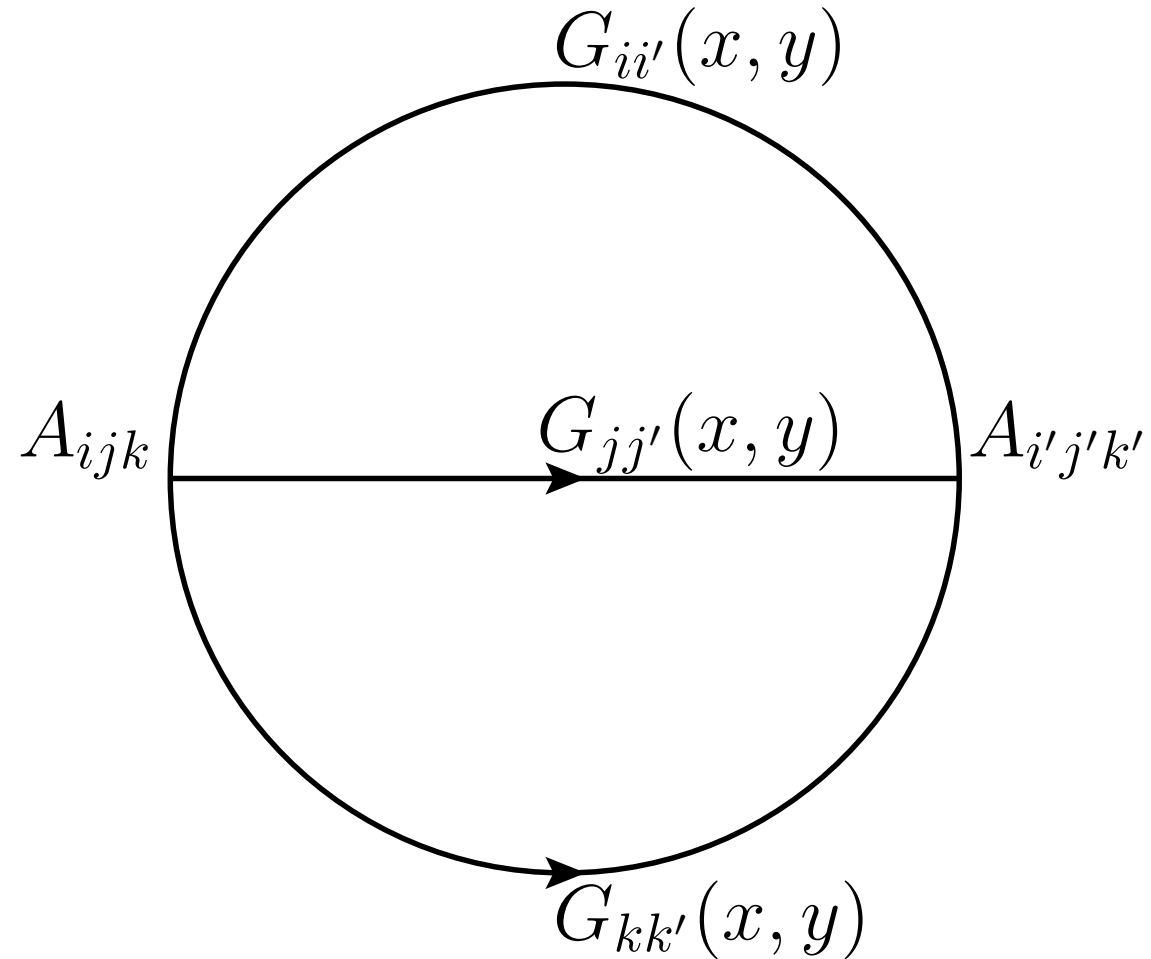
$\bar{\phi}_i = \text{Tr}[\Phi_i(x)\rho(t^0)]$  are obtained from effective action.

## 2 Particle Irreducible effective action for Green functions and expectation values for fields

$$\begin{aligned}
 \Gamma[G, \bar{\phi}] &= S[\bar{\phi}] + \frac{i}{2} \text{TrLn} G^{-1} \\
 &+ \frac{1}{2} \int d^4x d^4y \frac{\delta^2 S}{\delta \bar{\phi}_i^a(x) \delta \bar{\phi}_j^b(y)} G_{ij}^{ab}(x, y) \\
 &+ \frac{i}{3} D_{abc} A_{ijk} \int \int d^4x d^4y \sqrt{-g(x)} \sqrt{-g(y)} \\
 &[G_{ii'}^{aa'}(x, y) G_{jj'}^{bb'}(x, y) G_{kk'}^{cc'}(x, y)] D_{a'b'c'} A_{i'j'k'}
 \end{aligned}$$

Schwinger Dyson equations are solved perturbatively

$$G_{ij} = G_{ij}^{\text{free}} + G_{ij}^{O(A)}, \quad \bar{\phi}_i = \bar{\phi}_{i\text{free}} + \bar{\phi}_i^{O(A)}.$$



**Figure 2: 2 Particle Irreducible graph**

Schwinger Dyson equation and Field equation.  $G(x^0, y^0) =$   
 $\left(\frac{a_0}{a(x^0)}\right)^{\frac{3}{2}} \hat{G}(x^0, y^0) \left(\frac{a_0}{a(y^0)}\right)^{\frac{3}{2}}, \bar{\phi} = \left(\frac{a_0}{a(x^0)}\right)^{\frac{3}{2}} \bar{\varphi}.$

$$\left(\frac{\partial^2}{\partial x^{02}} + \frac{\mathbf{k}^2}{a(x^0)^2} + \bar{m}_i^2(x^0)\right) \hat{G}_{ij}(x^0, y^0, \mathbf{k})$$

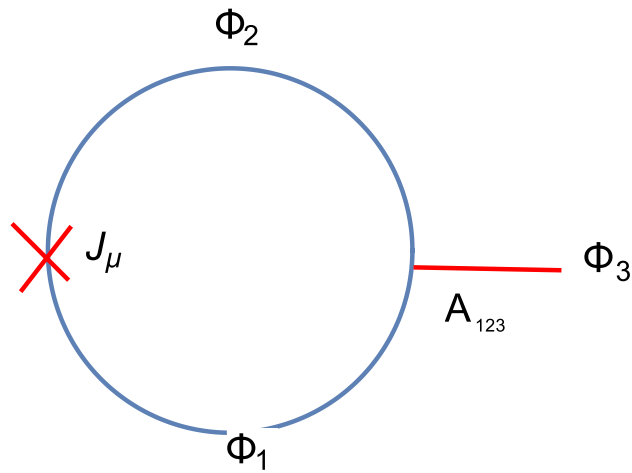
$$= 2(c \cdot ((D \otimes \hat{A}_{ilm} \cdot \bar{\varphi})_{mx^0}) \hat{G}_{mj}(x^0, y^0, \mathbf{k})$$

$$+ O(A^2) + \text{boundary terms.}$$

$$\left(\frac{\partial^2}{\partial x^{02}} + \bar{m}_i^2\right) \bar{\varphi}_i^d(x^0) = -i\delta_{x_0, t_0} \kappa_{ij}^{dc} c^{ce} a_0^3 \bar{\varphi}(t_0)^e.$$

$\kappa$  is given with the initial( $x^0 = t_0$ ) density matrix.





**Figure 3: The diagram corresponds  $O(A)$  contribution**

The asymmetry up to  $O(A)$  for  $a(x^0) = \text{constant}$  and  $\bar{\phi}_1(0) = \bar{\phi}_2(0) = 0$ .

$$\langle j_0(x^0) \rangle = \langle j_0(x^0) \rangle_{\text{absorption}}^{\text{emission}} + \langle j_0(x^0) \rangle_{\text{decay}}^{\text{inversedecay}} + \langle j_0(x^0) \rangle_{\text{vacuum}}$$

$$\langle j_0(x^0) \rangle_{\text{absorp.}}^{\text{emiss.}} = \frac{\bar{\phi}_3(0) A_{123}}{2a_0^3} \int \frac{d^3 k}{(2\pi)^3} \left( \frac{1}{\omega_{1k}} + \frac{1}{\omega_{2k}} \right) \times$$

$$\left[ \left\{ \frac{\coth \frac{\beta\omega_{2k}}{2} - \coth \frac{\beta\omega_{1k}}{2}}{2} + \tanh \frac{\beta\omega_3}{2} \right\} \right.$$

$$\left. \times \left\{ \frac{\sin(\omega_{2k} - \omega_{1k})x^0 + \sin \omega_3 x^0}{\omega_{2k} - \omega_{1k} + \omega_3} \right\} - (1 \leftrightarrow 2) \right]$$

Physical meaning of absorption and emission: When the denominator of the **time dependent factor** vanishes, it corresponds to the on-shell process. (Absorption and emission of "quantum" with  $\omega_3 = m_3$ )

$$\left\{ \frac{\sin(\omega_2(k) - \omega_1(k))x^0 + \sin \omega_3 x^0}{\omega_2(k) - \omega_1(k) + \omega_3} \right\}$$

$$\omega_{1k} = \omega_{2k} + \omega_3 \quad m_1 > m_2 + \omega_3$$

$$\left\{ \frac{\sin(\omega_1(k) - \omega_2(k))x^0 + \sin \omega_3 x^0}{\omega_1(k) - \omega_2(k) + \omega_3} \right\}$$

$$\omega_{2k} - \omega_{1k} = \omega_3 \quad m_2 > m_1 + \omega_3$$

$$\begin{aligned}
\langle j_0(x^0) \rangle_{decay}^{inv.decay} &= \\
&\frac{\overline{\phi_3(0)} A_{123}}{2a_0^3} \int \frac{d^3 k}{(2\pi)^3} \left( \frac{1}{\omega_{2k}} - \frac{1}{\omega_{1k}} \right) \\
&\times \left\{ \frac{\coth \frac{\beta\omega_{2k}}{2} + \coth \frac{\beta\omega_{1k}}{2} - \tanh \frac{\beta\omega_3}{2}}{2} \right\} \\
&\times \left\{ \frac{\sin \omega_3 x^0 - \sin(\omega_{1k} + \omega_{2k}) x^0}{\omega_3 - \omega_{2k} - \omega_{1k}} \right\}.
\end{aligned}$$

The condition that the decay ( $3 \rightarrow 1 + 2$ ) and inverse decay ( $1 + 2 \rightarrow 3$ ) happen; ( $\omega_{2k} + \omega_{1k} = \omega_3$ ),  
 $\omega_3 > m_1 + m_2$

$$\begin{aligned}
\langle j_0(x^0) \rangle_{vacuum} &= \langle j_0(x^0) \rangle_{decay}^{inv.decay} (\omega_{1,2k} \rightarrow -\omega_{1,2k}) \\
&= \frac{\overline{\phi_3(0)} A_{123}}{2a_0^3} \int \frac{d^3 k}{(2\pi)^3} \left( \frac{1}{\omega_{2k}} - \frac{1}{\omega_{1k}} \right) \\
&\times \left\{ \frac{\coth \frac{\beta\omega_{2k}}{2} + \coth \frac{\beta\omega_{1k}}{2}}{2} + \tanh \frac{\beta\omega_3}{2} \right\} \\
&\times \left\{ \frac{\sin \omega_3 x^0 + \sin(\omega_{1k} + \omega_{2k}) x^0}{\omega_3 + \omega_{2k} + \omega_{1k}} \right\}
\end{aligned}$$

The denominator;  $\omega_3 + \omega_{2k} + \omega_{1k}$  is positive definite and the process is always a virtual process.

$$\langle j_0(x^0) \rangle = J_1(x^0) + J_2(x^0) + J_3(x^0) + J_4(x^0)$$

$$\langle j_0(x^0) \rangle_{decay}^{inv.decay} + \langle j_0(x^0) \rangle_{vacuum} \equiv J_2(x^0) + J_3(x^0)$$

$$J_2(x^0) = - \frac{A_{123} \bar{\phi}_3(0)}{\omega_3} (m_1^2 - m_2^2) \tanh \frac{\beta \omega_3}{2}$$

$$\int \frac{k^2 dk}{2\pi^2 \omega_{1k} \omega_{2k}} \frac{\frac{\sin \omega_3 x^0}{\omega_3} - \frac{\sin(\omega_{1k} + \omega_{2k}) x^0}{\omega_{1k} + \omega_{2k}}}{1 - \left(\frac{\omega_{1k} + \omega_{2k}}{\omega_3}\right)^2}$$

$$J_3(x^0) = - \frac{A_{123} \bar{\phi}_3(0)}{\omega_3} (m_1^2 - m_2^2)$$

$$\int \frac{k^2 dk}{2\pi^2 \omega_{1k} \omega_{2k}} \frac{\coth \frac{\beta \omega_{1k}}{2} + \coth \frac{\beta \omega_{2k}}{2}}{2}$$

$$\times \frac{\frac{\sin(\omega_{1k} + \omega_{2k}) x^0}{\omega_3} - \frac{\sin \omega_3 x^0}{\omega_{1k} + \omega_{2k}}}{1 - \left(\frac{\omega_{1k} + \omega_{2k}}{\omega_3}\right)^2}$$

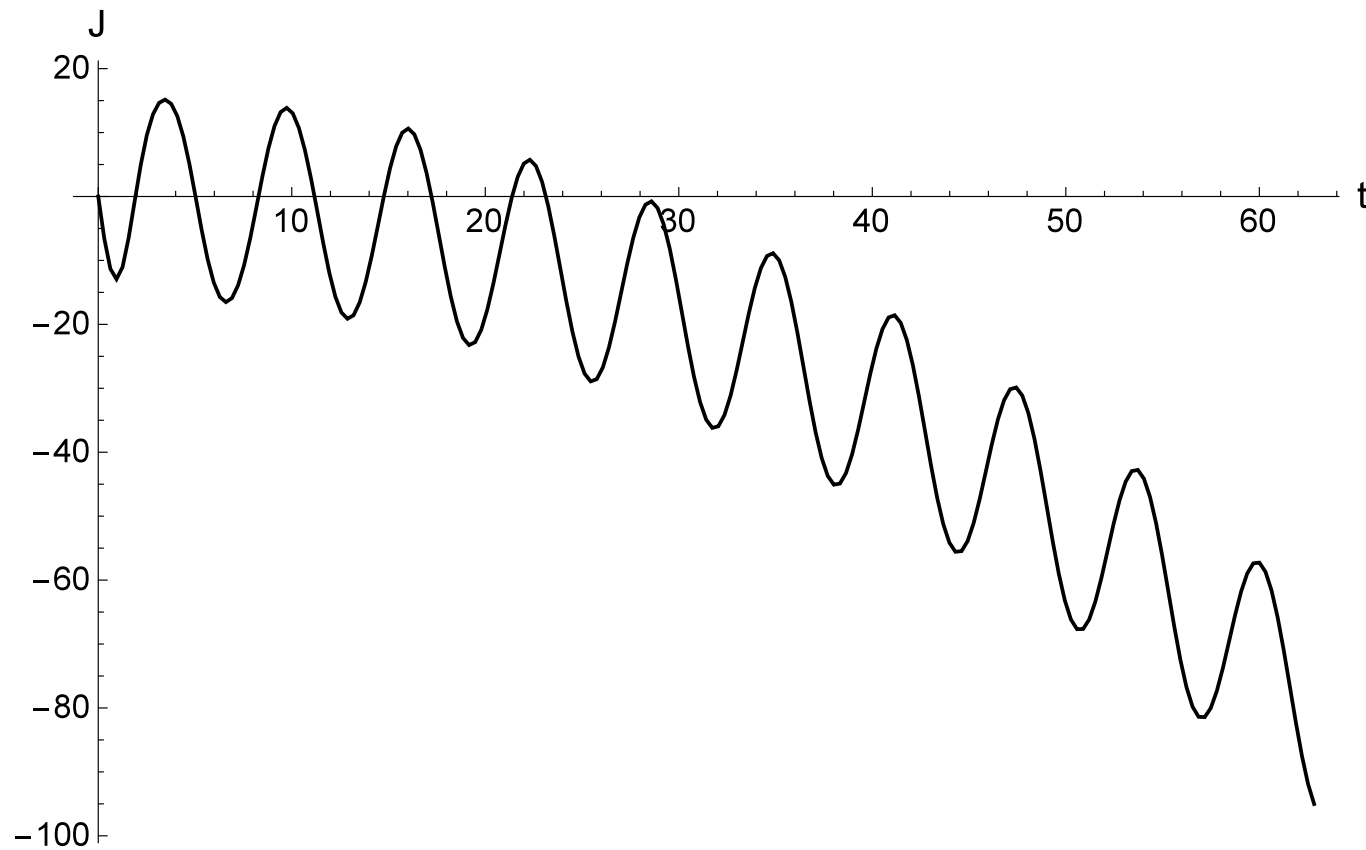
$$\langle j_0(x^0) \rangle_{\text{absorption}}^{\text{emission}} \equiv J_1(x^0) + J_4(x^0).$$

$$J_1(x^0) = \frac{A_{123} \bar{\phi}_3(0)}{\omega_3} (m_1^2 - m_2^2) \tanh \frac{\beta \omega_3}{2}$$

$$\lim_{d \rightarrow 4} \int \frac{d^{d-1} k}{(2\pi)^{d-1}} \frac{1}{\omega_{1k} \omega_{2k}} \frac{\frac{\sin \omega_3 x^0}{\omega_3} - \frac{\omega_{1k} + \omega_{2k}}{m_1^2 - m_2^2} \sin\left(\frac{m_1^2 - m_2^2}{\omega_{1k} + \omega_{2k}} x^0\right)}{1 - \left(\frac{m_2^2 - m_1^2}{(\omega_{2k} + \omega_{1k}) \omega_3}\right)^2}$$

$$J_4(x^0) = A_{123} \bar{\phi}_3(0) \int \frac{k^2 dk}{4\pi^2} \frac{(\omega_{1k} + \omega_{2k})^2}{\omega_{1k} \omega_{2k}} \left[ \frac{2e^{-\beta \omega_{2k}}}{1 - e^{-\beta \omega_{2k}}} - (2 \rightarrow 1) \right]$$

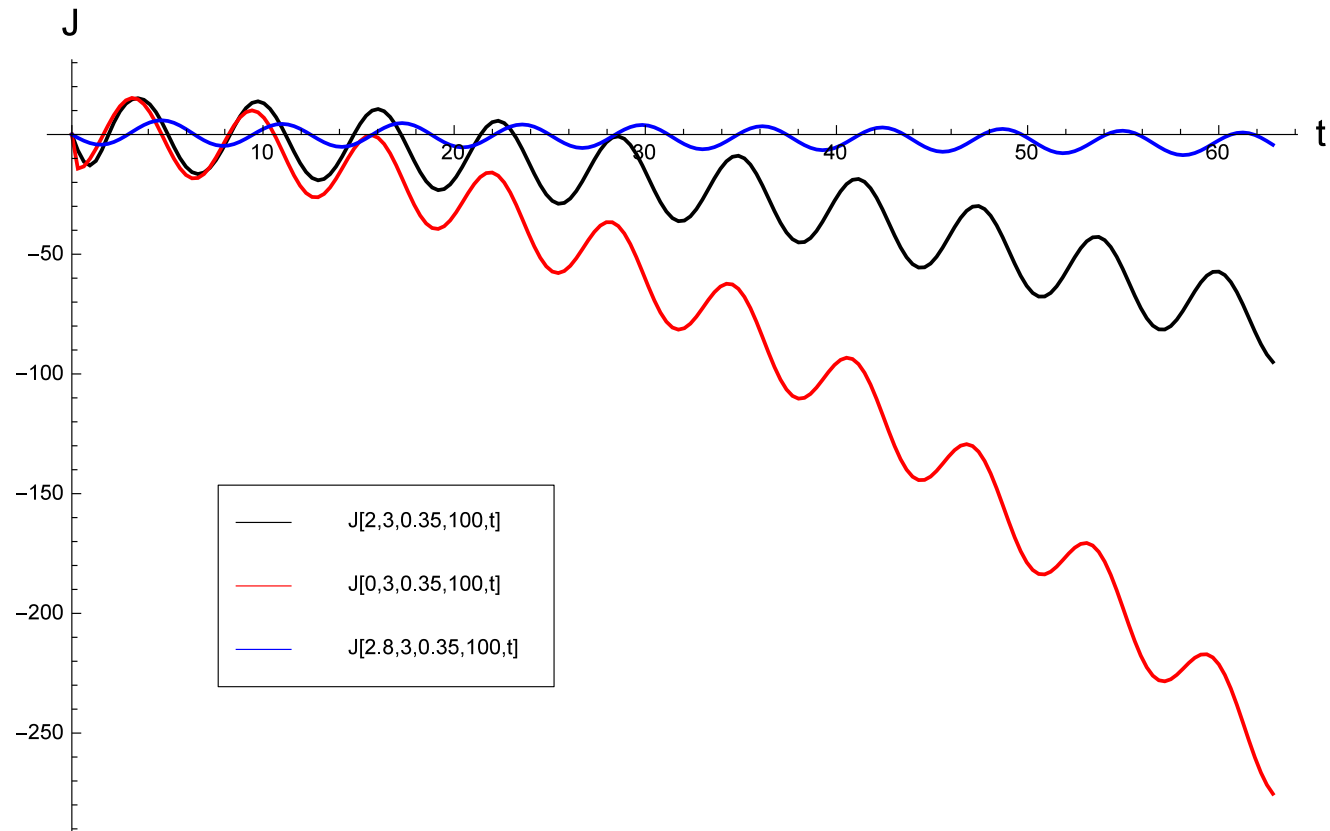
$$\times \frac{-\frac{\omega_{1k} + \omega_{2k}}{\omega_3} \sin \omega_3 x^0 + \frac{m_1^2 - m_2^2}{\omega_3^2} \sin\left(\frac{m_1^2 - m_2^2}{\omega_{1k} + \omega_{2k}} x^0\right)}{(\omega_{1k} + \omega_{2k})^2 - \left(\frac{m_2^2 - m_1^2}{\omega_3}\right)^2}$$



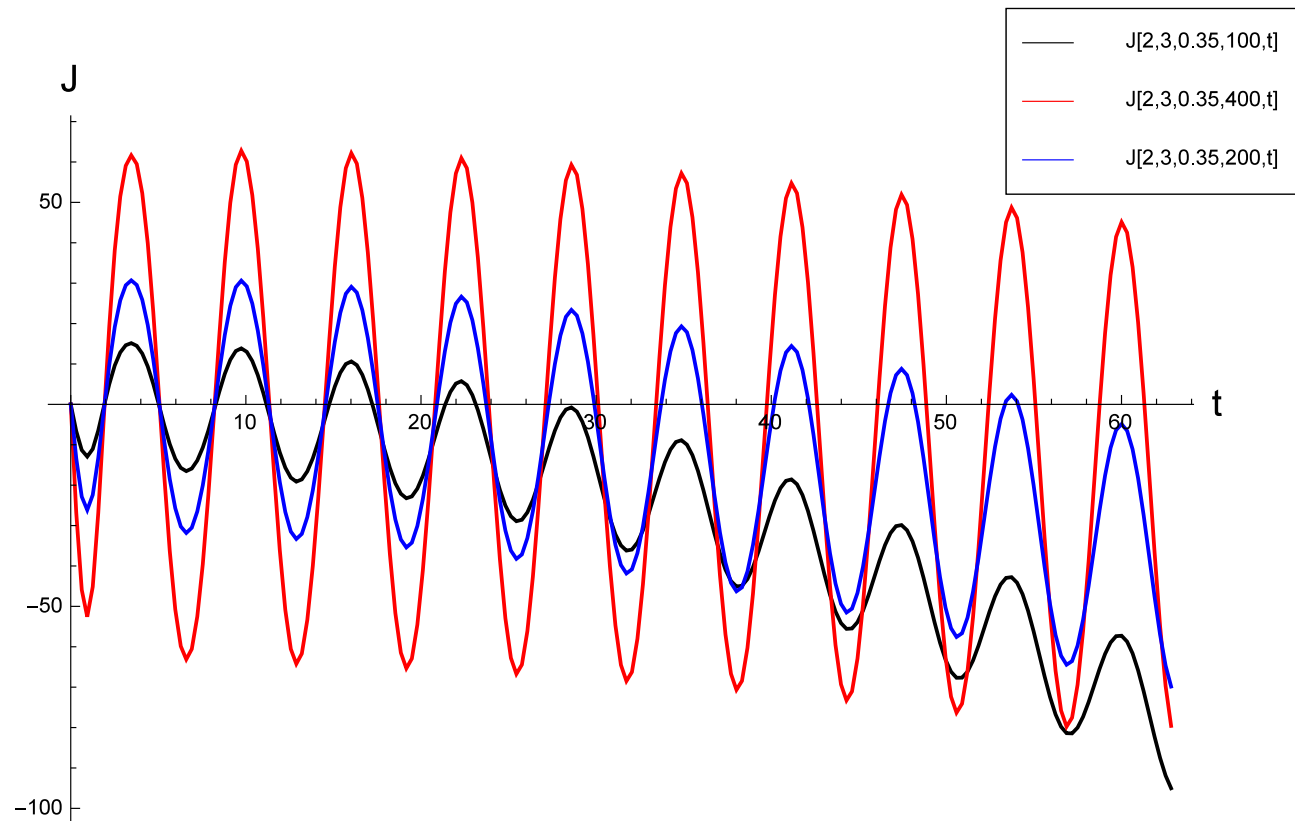
**Figure 4: Time  $t = \omega_3 x^0$  dependence of the asymmetry.**  
 $\omega_3 = 0.35, m_2 = 3, m_1 = 2, T = 100$



**Figure 5:** dependence on  $\frac{m_2 - m_1}{\omega_3}$ .  $\frac{0.2}{0.35}$ ,  $\frac{3 - 2}{0.35}$ ,  $\frac{3}{0.35}$ .



**Figure 6: Temperature ( $T$ ) dependence.  $T =$   
100, 200, 400.**



## Summary

- We have studied a simple model which generates the particle number asymmetry through interaction.
- We adopt the density matrix formulation (Calzetta and Hu) and calculate the time evolution of the current.
- We identified  $O(A)$ ; ( $A$  is a coupling constant) contribution in which the field expectation value for a neutral scalar  $\phi_3 = N$  and the Green function for the complex scalar involved.
- We numerically compute the asymmetry for the case with time-independent scale factor and show that the asymmetry is generated. The asymmetry is oscillatory growing with respect to time.
- The sign of the asymmetry is determined by the signs of the initial value  $\bar{\phi}_3(0)$  and CP and U(1) breaking coupling constant  $A_{123}$